

**WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT  
 (WINROCK)**

**REQUEST FOR APPLICATION (RFA)**

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| <b>Project Name:</b>                                | Safe Migration in Central Asia             |
| <b>Request for Application (RFA) No:</b>            | 24 – 01 - KZ                               |
| <b>Date RFA Issued:</b>                             | October 15, 2024                           |
| <b>Due Date and Time for Questions:</b>             | October 28, 2024                           |
| <b>Due Date and Time for Response to Questions:</b> | October 31, 2024                           |
| <b>RFA Closing Date and Time:</b>                   | November 11, 2024<br>11:59pm (Almaty time) |
| <b>Estimated Award Date:</b>                        | December 2, 2024                           |

**SECTION 1: PROGRAM DESCRIPTION**

**1.1 PURPOSE AND BACKGROUND**

The Safe Migration in Central Asia (SMICA) is a seven-year project implemented by Winrock International in the Republic of Kazakhstan, the Kyrgyz Republic, Turkmenistan and the Republic of Uzbekistan. The overarching goal of SMICA is to strengthen the mutual accountability of all stakeholders, including governments, non-government organizations (NGOs), and the private sector to become more self-reliant in efforts to prevent trafficking in persons, protect survivors of human trafficking, and promote safe migration. With support for governmental, nongovernmental, and business stakeholders, the project addresses both push and pull factors for TIP and protection for vulnerable migrants, recognizing that these factors and the political and economic climate of each country are unique.

The Safe Migration in Central Asia (SMICA) Activity, led by Winrock International, uses evidence-based practices and cross-border connections to strengthen the mutual accountability and effectiveness of governments, non-governmental organizations (NGOs), and the private sector to prevent trafficking in persons (TIP), protect survivors, and promote safe migration, as part of USAID’s Asia-wide suite of counter-trafficking interventions. Since 2019, SMICA has reached over 31,000 individuals with training and education on safe and responsible migration, building the

capacity of more than 2,887 service providers and duty bearers, and providing direct support to over 13,000 migrants and potential migrants, including 844 TIP survivors.

On July 5, 2024, the President of Kazakhstan adopted the TIP Law, following its approval by the Senate of the Parliament of the Republic of Kazakhstan in June. The Law introduces new concepts aligned with the definitions and concepts of the Palermo Protocol, such as "potential victim of human trafficking," "vulnerable situation," and "subjects of combating human trafficking," and mandates measures to safeguard the rights of TIP survivors and provide assistance, regardless of their consent to participation in legal proceedings. The enactment of the TIP Law signifies a robust commitment to protecting the rights and welfare of TIP victims in Kazakhstan. This legislation requires various stakeholders to respond to TIP and aligns Kazakhstan with international standards.

SMICA works with local and international organizations, governments, and civil society to connect country approaches with regional strategies strengthening bilateral and multi-country efforts to promote rights-based migration and counter TIP; reduce the vulnerability of at-risk populations to all forms of trafficking-in-persons; and expand and improve identification and assistance to trafficked persons. The three main objectives of SMICA include:

1. Strengthened bilateral and multi-country actions to promote rights-based migration and counter trafficking in persons
2. Reduced vulnerability of at-risk populations to all forms of trafficking-in-persons
3. Expanded and improved identification and assistance to trafficked persons

SMICA's approach to combating TIP is through empowering survivors, working with local groups, community leaders, and governments to improve data and policies, influencing social norms that increase trafficking risks, and establishing systems and services that protect at-risk groups. The project also focuses on cross-cutting themes that ensure sustainability through collaborative implementation with government, civil society, and the private sector and their ownership of activities, focus on promoting gender equality and engaging youth.

SMICA implements innovative approaches for addressing migration and TIP through private sector engagement working with critical sectors such as travel and transportation, but also engaging with employment agencies and others who can promote successful practices to combat TIP. This unique type of programming promotes sustainability and enhances safe migration channels.

Winrock International has 25 years of global experience implementing counter-trafficking-in-persons, child labor, women's empowerment, and civic engagement programs. Since 1992, Winrock's programs in Central Asia promoted agricultural development, improved natural resource management, and addressed issues of gender-based violence and human trafficking. Winrock's approach to CTIP empowers survivors; works with local groups, community leaders, governments, and the private sector to improve data and policies, combat social norms that increase trafficking risks, and establish systems and services that protect at-risk groups and assist survivors.

## **1.2 GRANT OBJECTIVE AND SCOPE OF WORK**

Activities should support reducing vulnerability of at-risk populations and migrants to all forms of trafficking in persons (TIP), enhancing identification and referral of trafficked persons, providing direct and humanitarian assistance and advice on employment opportunities to vulnerable migrants and foreign trafficking survivors.

**Priority countries for regional activities:** Kazakhstan, the Kyrgyz Republic, Turkmenistan, and Uzbekistan.

**Priority regions of Kazakhstan:**

Non prioritized. One NGO can submit only one application, that will cover one or more regions of Kazakhstan, however, the number of regions covered does not affect the evaluation process.

Under this RFA, the SMICA project is seeking interested applicants who support one or more of the objectives outlined below.

**Objective 1. Enhance survivors' network**

SMICA seeks to expand and strengthen Winrock's existing Survivor Advisory Group (SAG) in Kazakhstan, comprising individuals from diverse backgrounds, including survivors of TIP or forced labor, persons with lived experiences, and/or representatives from TIP survivors. SAG members will be engaged in various activities of the SMICA project as experts with lived experience, will receive targeted training and support, and will be compensated by SMICA for their services.

**Illustrative Activities for Objective 1:**

- Identify and select new members of SAG using an application/nomination process, ensuring regional, gender, and social diversity representation. This process should prioritize the inclusion of underrepresented groups, such as men, ethnic minorities, and individuals with disabilities, to reflect the diverse experiences of TIP survivors. Applicants should demonstrate trauma-informed approaches to survivor engagement, ensuring that participation is voluntary, all joint activities are based on informed consent, and that all personal information is confidential.
- Provide skills training to existing and new members of SAG (e.g., CTIP ecosystems of actors, CTIP regulatory frameworks, leadership skills, basic IT skills, project management, finding a path as a survivor leader within the anti-trafficking sector, trauma-informed peer support, providing document and program review and feedback, media and public speaking, navigating interviews and challenging "question and answer" dynamics, trauma-informed story-telling, ensuring personal safety and self-care, identifying and using skills in committee settings).
- The training should be tailored to address the specific needs and challenges faced by survivors in engaging as equal participants in CTIP dialogue and activities. Additionally, applicants should consider connecting with state agencies and international organizations

to enhance these efforts by leveraging their youth training, livelihood development, and other relevant programs for SAG members.

- Prepare SAG members to participate in and co-lead SMICA activities, such as policy discussions, advocacy efforts, survivor assistance, and training sessions. This can include organizing a platform for SAG members to share their lived experiences and offer recommendations in CTIP strategies, including gender and social inclusion (GESI) considerations.
- Organize workshops and peer support groups led by SAG members for other survivors and at-risk populations, focusing on empowerment, rights awareness, and access to services. These activities can be designed to address the unique challenges faced by women and marginalized groups, such as stigma and limited access to resources.
- Develop and disseminate educational materials and resources created in collaboration with SAG members that highlight the intersectionality of TIP and gender, and how different social identities impact the experiences of survivors. These materials will be used in training and awareness campaigns to promote a more inclusive approach to CTIP.
- Train and help engage SAG members in monitoring and evaluation activities, ensuring that their feedback is incorporated into the ongoing improvement of SMICA's initiatives.

### **Expected Results for Objective 1**

- SAG has at least three new members who actively participate in CTIP activities, representing a broad spectrum of gender, regional, and social diversity.
- SAG members are capacitated to participate in CTIP activities, with enhanced skills and confidence to engage in leadership roles, advocate for inclusive policies, and support other survivors.
- SAG members are provided with ongoing psychological support and counselling to address possible trauma triggers and to increase confidence

**Eligible applicants regional focus:** Open to all organizations in the region, with the priority to Kazakhstan and Turkmenistan.

### **Objective 2. Regional training for NGOs on burn-out mitigation**

SMICA aims to address the growing issue of burnout among NGO staff working in the counter-trafficking and migrant support sectors by organizing a regional Training of Trainers (ToT). This initiative will provide NGO representatives from Kazakhstan, the Kyrgyz Republic, Turkmenistan, and Uzbekistan with the tools and strategies to mitigate burnout within their organizations. The ToT will equip participants with knowledge on recognizing burnout, developing wellness strategies, and fostering resilient teams in high-stress environments.

## Illustrative Activities for Objective 2:

- Conduct a needs assessment among participating NGOs to understand the current levels of burnout, identify common stressors, and gather input on what specific support is needed.
- Organize a ToT workshop for selected NGO staff, focusing on burnout recognition, self-care strategies, and organizational approaches to promoting mental health and well-being. The workshop will integrate gender-sensitive approaches, recognizing that burnout can affect women and men differently, especially in caregiving roles.
- Organize follow-up virtual webinar to reinforce the training content, offer refresher sessions on burnout prevention, and provide opportunities for participants to report back on how they are applying the strategies in their organizations.

## Expected Results for Objective 2:

- NGO staff from Kazakhstan, the Kyrgyz Republic, Turkmenistan, and Uzbekistan gain a deeper understanding of burnout symptoms, risk factors, and prevention strategies, leading to improved mental health awareness in the workplace.
- NGOs equipped with the tools and knowledge from the ToT program will demonstrate increased organizational resilience and capacity to manage stress and prevent burnout among their teams.

**Eligible applicants regional focus:** open to all organizations/providers in the region with the priority to Kazakhstan, Turkmenistan, and Uzbekistan.

## Objective 3. Improved TIP Awareness and reduced TIP vulnerability through information, skills, and services

SMICA seeks to enhance the protection and safety of vulnerable populations, including migrants, women, youth, and other marginalized groups, by raising awareness of their legal rights, exploitation risks, and available services. This includes educating communities on the dangers of trafficking in persons (TIP). Additionally, SMICA aims to continue conducting learning sessions for youth in educational institutions based on Smart Navigator, a targeted TIP-prevention program for youth designed by SMICA.

## Illustrative activities for Objective 3:

- Conduct awareness raising campaigns and consultations about protection measures against all forms of exploitation, legal rights of migrants, safe migration opportunities, free state employment, medical and educational services for at-risk populations and migrants. These activities can take place in collaboration with local executive bodies and using ICT communication channels (social media, messengers, YouTube, and others), diaspora groups, at public places, workplaces and residence areas of migrants, state border-cross points, airports, train and bus stations and others.

- Conduct youth-oriented training sessions, including Smart Navigator in vocational colleges and universities to inform youth about TIP risks, and how to identify and where to report suspected cases.

### **Expected results for Objective 3**

- At-risk populations are less vulnerable to exploitation, trafficking, and other forms of abuse, as they are aware of their legal rights, protection measures, and available services.
- Potential migrants are informed about safe migration routes and the risks and threats associated with illegal migration.
- Youth are better informed about the risks related to TIP.
- Youth leaders are actively engaged in promoting safe migration.
- Future professionals working in healthcare, Hotel, Restaurant, Catering (HoReCa), and education are prepared to recognize and report potential trafficking cases and assist individuals impacted by TIP-related crimes.

**Eligible applicants regional focus:** Open to all organizations in Kazakhstan.

### **Objective 4. Engage with the private sector to support ethical recruitment and safe migration in Kazakhstan**

SMICA aims to empower local businesses, such as travel and private employment agencies, agriculture and construction companies to identify, prevent, and report trafficking and exploitation cases, ultimately fostering a more informed and resilient society.

#### **Illustrative activities for Objective 4:**

- Conduct training and learning sessions for local at-risk businesses, such as travel and private employment agencies, construction and agricultural companies, in order to highlight their role in CTIP according to the new TIP law, increase their knowledge on TIP signs, and how to identify and where to report suspected cases.
- Develop specialized anti-TIP guidelines and toolkits for travel and private employment agencies. Include information about ethical recruitment practices, detailed indicators of trafficking and standardized procedures for reporting suspected cases of trafficking and forced labor. The guidelines should be aligned with the new TIP law of Kazakhstan.

#### **Expected results for Objective 4:**

- Travel agencies, private employment agencies, and other businesses at risk of contributing to TIP have a better understanding of their legal obligations and how to comply with the new anti-trafficking law.
- Businesses are better equipped to identify and report potential trafficking cases, leading to more effective interventions.

**Eligible applicants regional focus:** Open to all organizations in Kazakhstan.

### **Objective 5. Reinforce capacity of frontline officers and legal professionals on the national referral mechanism and survivor-centered approaches in Kazakhstan**

SMICA seeks to strengthen the protection, support, and reintegration of trafficking survivors by enhancing the capacity of frontline workers and legal professionals to identify, refer and provide immediate support to potential victims. SMICA plans to organize workshops to improve coordination and collaboration among service providers, and to help service providers implement trauma-informed and survivor-centered approaches and establish clear response and referral standards for various groups of survivors (children and adults, domestic and foreign, male and female).

#### **Illustrative activities for Objective 5:**

- Facilitate workshops for local frontline officers (labor inspectors, healthcare specialists, social workers, education specialists, law enforcement, etc.), aiming to update their knowledge on the new TIP law, the national referral mechanism, and increase skills to implement action plans of local CTIP Commissions, while improving cooperation and coordination to promote trauma-informed and survivor-centered approaches when interfacing with TIP survivors.
- Introduce a survivor-centered approach for local lawyers/advocates in providing legal assistance to trafficking survivors.

#### **Expected results for Objective 5:**

- Frontline officers work according to the national referral mechanism, leading to a coordinated response to trafficking cases.
- Frontline officers adopt trauma-informed and survivor-centered practices, resulting in more sensitive and effective assistance to trafficking survivors.
- Local lawyers and advocates provide legal assistance to trafficking survivors by prioritizing their well-being.

**Eligible applicants regional focus:** Open to all organizations in Kazakhstan.

### **Objective 6. Ensure comprehensive support for vulnerable migrants and trafficking survivors. (Kazakhstan)**

SMICA aims to provide comprehensive support to trafficking survivors and vulnerable migrants, ensuring gender-responsive and socially inclusive approaches. Additionally, SMICA puts the focus on enhancing skills and employment opportunities through vocational training with equal access for all groups, including women and persons with disabilities.

#### **Illustrative activities for Objective 6:**

- Provide psycho-social and legal support to trafficking survivors and vulnerable migrants. Ensure that all interventions are sensitive to the diverse needs of different marginalized groups.
- Provide assistance to trafficking survivors and vulnerable migrants in receiving government services, including social services and medical care. Ensure that services are GESI-sensitive.
- Provide direct assistance to foreign nationals identified as trafficking survivors and vulnerable migrants, including but not limited to shelter, transportation, medical support, retrieval of identification documents and others, ensuring that services are accessible and appropriate for women, individuals with disabilities, and people from diverse cultural backgrounds.
- Provide humanitarian support to trafficking survivors, vulnerable individuals and their families in difficult life situations.
- Provide tailored, survivor-led and strength-based rehabilitation and reintegration assistance to survivors and survivors of trafficking, with a focus on addressing the specific vulnerabilities of women, children, and other marginalized groups.
- Advise survivors, vulnerable migrants on employment opportunities and refer them to state and non-state organizations that can provide such consultations. Also, increase their access to vocational training, income generation and employment opportunities through private sector partnership and entrepreneurship development. Promote reintegration of survivors by building their capacity through vocational and/or livelihood skills training and referrals.

#### **Expected results for Objective 6:**

- Trafficking survivors and vulnerable migrants receive assistance such as shelter, medical care, and transportation, ensuring their immediate safety and well-being.
- Trafficking survivors and vulnerable migrants have improved access to critical government services such as social services, medical care, and other forms of support, leading to improved living conditions.
- Trafficking survivors and survivors successfully reintegrate into society.
- Vulnerable migrants and trafficking survivors are better informed about income generation opportunities and vocational training.

**Eligible applicants regional focus:** Open to all organizations in Kazakhstan.



## SECTION 2: AWARD INFORMATION

### 2.1 ESTIMATED AMOUNT OF AWARD

The total amount awarded (not exceeding 306,500 USD) will depend on the activities proposed, number of districts covered, time frame proposed and estimated actual cost of implementation of the grant. Applicants are encouraged to propose realistic budgets based on actual costs of salaries, fringe and/or benefit structure, travel, procurement, and other operating expenses. A budget template is included as Annex B to be used for this purpose. Grant period and amount per application can be one of the following:

- For the period from 12 months to 18 months, between 10,000 and 15,000 USD (If one objective is selected).
- For the period from 12 to 18 months, between 25,000 and 45,000 USD (If two or more objectives are selected).

The final amount awarded per application will depend on agreed partner activities and capacity of applicant organization. Upon decision of the Winrock evaluation panel, Winrock can contact applicant organizations with a request to reduce or enhance suggested activities and budget.

### 2.2 ANTICIPATED START DATE AND DURATION OF GRANTS

Contingent on USAID approval, the anticipated start date of performance for these subgrants is December 2, 2024.

### 2.3. TYPE OF AWARDS TO BE ISSUED

Winrock will determine the appropriate award mechanism, in consultation with the applicant during the negotiation process. The type of award will be based on the pre-award assessment of the applicant, nature of the award activity, and estimated total cost of the award.

## SECTION 3: ELIGIBILITY INFORMATION

### 3.1 ELIGIBLE APPLICANTS

This solicitation is open to all non-US organizations. To be eligible for funding, applicants must comply with the following conditions:

- Be legally registered or otherwise authorized to conduct business in the applicant's country or countries of operation.
- Agree to be subject to a pre-award capacity assessment carried out by Winrock staff to determine that the applicant has sound management in the form of financial, administrative, and technical policies and procedures.
- Be able to demonstrate successful past performance in TIP program development, implementation, and monitoring and evaluation-preferably at a sub-national level. Past performance should be in at least one of the following areas: raising awareness of TIP; promoting gender equity; building the capacity of government or local stakeholders, including training on program design, implementation, monitoring and evaluation.

- Demonstrate capacity and be willing to enter a contractual arrangement with Winrock International to work together towards fulfilling the objectives of the SMICA project.
- Provide documentation of registration with the Government to legally operate in country
- Provide a Unique Entity ID (UEI) at the time of the award. If the applicant already has a UEI number, it should be included in their application. Otherwise, applicants will be expected to get a UEI number before an award is issued. UEI numbers can be obtained online at <https://sam.gov/content/duns-uei>. Please note that this only applies when the prime funder is a US Government (USG) entity.

## SECTION 4: INSTRUCTION TO APPLICANTS AND SUBMISSION INFORMATION

### 4.1. PRE-APPLICATION CONFERENCE

- A pre-application conference will be held as detailed below. All applicants are encouraged to attend.
- A pre-application conference will not be held.

### 4.2. Technical Application

Applicants will develop their applications based on their understanding of the project's needs, their prior institutional experience, and their proposed technical approach which should be realistic and demonstrate ability to achieve impact. In all cases, applicants shall clearly explain the rationale for the proposed activities.

Submissions must clearly state the objectives and the expected results of the activity within the life of the funding period and define an implementation plan with a proposed time frame and budget. On-going monitoring and reporting of the funded activities will be the responsibility of the applicant including entering data on an ongoing basis to the SMICA Management Information System (MIS). An Application Form template is provided as an attachment to this RFA.

### 4.3. COST APPLICATION

Cost applications shall be submitted separately from the technical application. Applicants shall submit a summary and detailed budget in Microsoft Excel with budget notes identifying how the applicant would allocate any funds received during the term of the potential agreement or contract. The budget narrative should explain and justify the need for the costs proposed in the budget. The narrative should help the reviewer understand why an item of cost is necessary and how it will be used to support the activity for which it will be incurred. The budget narrative should demonstrate the relationship between the proposed activities and the budget. Just as the technical application should reflect the applicant's understanding of the objectives and the proposed approach to

achieving those objectives, the budget and the accompanying narrative should be realistic and show a similar understanding of the financial requirements of the proposed activities.

Applicants should include in the project budget bank transaction fees and other service fees, required for utilization of international grant, in line with national legislation. Production of a project roll-up or banner for project branding purposes should also be included in the budget.

#### 4.4. SUBMISSION GUIDELINES

All questions and other communications regarding this RFA should be submitted in writing to [SMICAGrants@winrock.org](mailto:SMICAGrants@winrock.org). Written responses to questions will be made available to all applicants.

Applications must be submitted in English or Russian using Times New Roman, font size 11, single-spaced. The page limit does not include Annexes and CVs of proposed staff included as part of the application.

Applications (including a technical description, estimated budget and supporting documentation) must be submitted electronically via email to [SMICAGrants@winrock.org](mailto:SMICAGrants@winrock.org) and should reference RFA#24-01-KZ. Applications must be submitted no later than the time and date indicated on the cover page. Your email with attachments should not exceed 20 MB limit.

Incomplete or late applications will be marked as such and will be ineligible for review or award; however, Winrock reserves the right to accept and include incomplete or late applications in the review and award process when it is considered within the best interest of Winrock to do so. Applications that are submitted late or incomplete run the risk of **not** being considered for review.

Applications may be withdrawn by written notice via email received at any time before an award is made. Applications may be withdrawn in person by an organization or its authorized representative if the representative's identity is made known and the representative signs a receipt for the application before the award is made.

A complete submission, at a minimum, will include the following:

- A copy of the applicant's valid legal registration.
- A completed and signed technical application.
- CVs for project personnel (expected to be involved in the suggested project).
- A completed and signed budget application.

Receipt time for electronic submissions is when the application is received by Winrock's Internet Server. Please do not send files in RAR format.

## SECTION 5: APPLICATION EVALUATION AND REVIEW PROCESS

### 5.1. MERIT REVIEW

The criteria presented below have been tailored to meet the requirements of this RFA. A total of 100 points are possible for all components of the application. The relative importance of each criterion is indicated by approximate weight by points.

In evaluating the applications, Winrock will examine overall merit and feasibility, as well as specific criteria relevant to each component as elaborated below. Up to 5 awards will be made to the overall highest scores to the responsible applicant(s). Applicants should note that these criteria: (1) serve as the standard against which all applications will be evaluated, and (2) serve to identify the significant matters which applicants should address in their applications.

| Merit Review Criteria  | Points                  |
|--|-------------------------|
| <b>1. Technical Approach: relevance of the action</b>  | <b>Total: 30 points</b> |
| 1. How relevant is the proposal to the objectives and priorities of the current Request for Applications?  | 15                      |
| 2. How clearly defined and strategically chosen are those involved (final beneficiaries, target groups)? Have their needs been clearly defined and does the proposal address them appropriately? | 10                      |
| 3. Does the proposal contain specific added-value elements, for example, innovative approaches, engagement with private sector, usage of ICT tools, engagement of bloggers?                      | 5                       |
| <b>2. Technical Approach: design of the action</b>   | <b>Total: 30 points</b> |
| 1. How coherent is the overall design of the action? In particular, does it reflect the analysis of the problems involved, take into account external factors and relevant stakeholders?         | 10                      |
| 2. Is the action feasible and consistent in relation to the objectives and expected results? Are the objectives specific, measurable, achievable, realistic, and timebound (i.e. SMART)?         | 10                      |
| 3. Does the approach incorporate multiple strategic methodologies (citizen engagement, use of media, interaction with government, etc.)?   | 10                      |
| <b>3. Organizational Capacity</b>  | <b>Total: 20 points</b> |
| 1. Does the applicant have previous or on-going experience implementing similar activities?  | 10                      |
| 2. What results have been achieved in previous activities of the applicant, what were the quantitative, qualitative and impact-level results?  | 5                       |
| 3. Is the staff capacity of the applicant appropriate for implementation of the suggested activities?  | 5                       |
| <b>4. Budgeting</b>  | <b>Total: 20 points</b> |
| 1. Is the budget complete and clear, has sufficient details, and corresponds to project activities, amounts are reasonable and appropriate, budget notes clearly justify the needs for costs.    | 20                      |
| <b>Overall Rating</b>  | <b>100</b>              |

## 5.2. REVIEW PROCESS

Grant applications will be reviewed and evaluated by an evaluation panel using the merit review criteria indicated above.

It is anticipated that the awards will be decided within 2 weeks after the submission deadline, as stated on the cover page of this RFA. Final negotiations and the award will be managed by Winrock.

All grants are subject to USAID approval.

## SECTION 6: OTHER TERMS AND CONDITIONS

### 6.1. DISCLAIMERS

- The issuance of this solicitation does not commit Winrock to make an award to any prospective grantee responding to this solicitation. Prospective grantees will not be reimbursed for costs incurred in the preparation and submission of an application. Winrock reserves the right to reject any and all applications, or to make an award without further discussion or negotiation.
- All costs funded under the grant must be allowable, allocable, and reasonable.

### 6.2. CONFLICT OF INTEREST

Applicants must provide disclosure of any past, present or future relationships with any parties associated with the issuance, review or management of this RFA and anticipated grant, including employment or compensated engagement of close family members. Failure to provide full and open disclosure may result in Winrock having to re-evaluate selection of a potential applicant.

### 6.3. OTHER CONDITIONS

- Applicants must agree and be willing to sign and submit required certifications before a grant is issued.
- For those selected for a grant award, Winrock will conduct a pre-award risk assessment.
- Issuance of grant agreements will be subject to applicable prior written approval by Winrock funder, as needed.
- Applicants may only submit one application per prime organization under this RFA.
- Individuals are not eligible to receive funding under this solicitation.
- Applications must remain valid for at least 180 days.
- Application forms should be signed by an authorized agent of the applicant's organization.
- Applicants that submit applications that meet or exceed the evaluation criteria will be notified of the next steps in the application process.

Additionally, the following items cannot be purchased under the potential resultant grants:

- Any purchases or activities deemed unnecessary to accomplish grant purposes as determined by Winrock, including any grantee headquarters expenses that are not directly linked to the implementation of the proposed activities
- Private ceremonies, parties, celebrations or "representation" expenses
- Previous obligations and/or bad debts

- Expenses related to overtly religious purposes
- Expenses intended to influence the outcome of elections or other political processes
- Fines and/or penalties
- Creation of endowments
- Alcoholic beverages
- Real property (land, including land improvements, and structures thereto)

The following items are also ineligible or restricted under USAID-funded grants:

- Prohibited goods under USAID eligibility rules, including military equipment, surveillance equipment, commodities and services for support of police or other law enforcement activities, abortion equipment and services, luxury goods, gambling equipment, and weather modification equipment
- Restricted goods under USAID eligibility rules, such as agricultural commodities, motor vehicles, including motorcycles, pharmaceuticals, pesticides, used equipment, contraceptive products, USG-owned excess property or fertilizer
- Goods and services whose source and nationality are other than Geographic Code 937 and 110.
- Construction or infrastructure activities of any kind.

## SECTION 7: ANNEXES

- Annex A – Application Form
- Annex B – Detail Budget Template